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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	SAS2-PT056
	First Inventor	Shinzo Matsui
	Title	ILLUMINATION APPARATUS AND PROJECTOR DISPLAY APPARATUS
	Express Mail Label No.	EV32089094005

22184 U.S. PTO 10/15/03



APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 65]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 20]
5. Oath or Declaration [Total Sheets 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Reader Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner:

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number:	3624	OR <input type="checkbox"/> Correspondence address below
Name	VOLPE AND KOENIG, P.C.	
Address		
City	State	Zip Code
Country	Telephone	Fax
Name (Print/Type)	Gerald B. Halt, Jr.	Registration No. (Attorney/Agent)
Signature		37,633
		Date
		8/6/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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08/06/03



05909 U.S. PTO

Express Mail Label No. EV320890940US

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003		Complete if Known	
Effective 01/01/2003. Patent fees are subject to annual revision.		Application Number	Not Yet Known
		Filing Date	Not Yet Known
		First Named Inventor	Shinzo Matsui
		Examiner Name	Not Yet Known
		Art Unit	Not Yet Known
		Attorney Docket No.	SAS2-PT056
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT	(\$)	964	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input type="checkbox"/> Deposit Account: Deposit Account Number: 22-0493 Deposit Account Name: Volpe and Koenig, P.C.		Large Entity Small Entity	
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments charge any deficiencies <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		Fee Code (\$) Fee Code (\$) Fee Description Fee Paid	
1. BASIC FILING FEE		1051 130 2051 65 Surcharge - late filing fee or oath	
Large Entity Small Entity		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
Fee Code (\$) Fee Code (\$) Fee Description Fee Paid		1053 130 1053 130 Non-English specification	
1001 750 2001 375 Utility filing fee 750		1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
1002 330 2002 165 Design filing fee		1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
1003 520 2003 260 Plant filing fee		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
1004 750 2004 375 Reissue filing fee		1251 110 2251 55 Extension for reply within first month	
1005 160 2005 80 Provisional filing fee		1252 410 2252 205 Extension for reply within second month	
SUBTOTAL (1) (\$) 750		1253 930 2253 465 Extension for reply within third month	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1254 1,450 2254 725 Extension for reply within fourth month	
Total Claims 25 - 20 = 5 X 18 = 90		1255 1,970 2255 985 Extension for reply within fifth month	
Independent Claims 4 - 3 = 1 X 84 = 84		1401 320 2401 160 Notice of Appeal	
Multiple Dependent		1402 320 2402 160 Filing a brief in support of an appeal	
Large Entity Small Entity		1403 280 2403 140 Request for oral hearing	
Fee Code (\$) Fee Code (\$) Fee Description Fee Paid		1451 1,510 1451 1,510 Petition to institute a public use proceeding	
1202 18 2202 9 Claims in excess of 20		1452 110 2452 55 Petition to revive - unavoidable	
1201 84 2201 42 Independent claims in excess of 3		1453 1,300 2453 650 Petition to revive - unintentional	
1203 280 2203 140 Multiple dependent claim, if not paid		1501 1,300 2501 650 Utility issue fee (or reissue)	
1204 84 2204 42 ** Reissue independent claims over original patent		1502 470 2502 235 Design issue fee	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1503 630 2503 315 Plant issue fee	
SUBTOTAL (2) (\$) 174		1460 130 1460 130 Petitions to the Commissioner	
**or number previously paid, if greater; For Reissues, see above		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
		1806 180 1806 180 Submission of Information Disclosure Stmt	
		8021 40 8021 40 Recording each patent assignment per property (times number of properties) 40	
		1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
		1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
		1801 750 2801 375 Request for Continued Examination (RCE)	
		1802 900 1802 900 Request for expedited examination of a design application	
		Other fee (specify)	
		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40	

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Gerald B. Halt, Jr.	Registration No. (Attorney/Agent)	37,633
Signature		Telephone	215-568-6400
		Date	8/6/03

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